

**1.1.4 Notice of Commission Approval –  
Housekeeping Amendments to IDA Regulation  
100.20 and Notes and Instructions to Schedule  
9 Regarding Securities Concentration Charge**

**THE INVESTMENT DEALERS ASSOCIATION (IDA)**

**HOUSEKEEPING AMENDMENTS TO IDA  
REGULATION 100.20 AND  
NOTES AND INSTRUCTIONS TO SCHEDULE 9  
REGARDING SECURITIES CONCENTRATION CHARGE**

**NOTICE OF COMMISSION APPROVAL**

The Ontario Securities Commission approved the amendments to IDA Regulation 100.20 and Notes and Instructions to Schedule 9 to clarify the threshold to be used for the calculation of the securities concentration charge. In addition, the Alberta Securities Commission and the Autorité des marchés financiers approved, and the British Columbia Securities Commission did not object to the amendments. The amendments are housekeeping in nature and should be read in conjunction with amendments to Schedule 9 of Form 1, published at (2004) 27 OSCB 6098, relating to the calculation of the securities concentration charge for positions in broad based index securities, and which have been approved by the Ontario Securities Commission, the Alberta Securities Commission, the British Columbia Securities Commission and are awaiting a decision by the Autorité des marchés financiers. The description and a copy of the amendments to IDA Regulation 100.20 and Notes and Instructions to Schedule 9 are contained in Chapter 13 of this Ontario Securities Commission Bulletin.