

## Chapter 13

# SROs, Marketplaces, Clearing Agencies and Trade Repositories

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### 13.3 Clearing Agencies

#### 13.3.1 Nodal Clear, LLC – Notice of Commission Order – Interim Exemption Order

##### Headnote

Application under section 147 of the Securities Act (Ontario) (Act) for an interim order exempting Nodal Clear, LLC from the requirement in subsection 21.2(0.1) of the Act to be recognized as a clearing agency.

##### Applicable Legislative Provisions

Securities Act, R.S.O. 1990, c. S.5, as am., ss. 21.2(0.1), 147.

**NODAL CLEAR, LLC**  
(Nodal Clear)

**INTERIM EXEMPTION ORDER**  
(SECTION 147 OF THE SECURITIES ACT (Ontario))

**NOTICE OF COMMISSION ORDER**

On October 9, 2015, the Commission granted Nodal Clear an order (Interim Exemption Order) effective on October 19, 2015 (Effective Date) pursuant to section 147 of the *Securities Act* (Ontario) (Act) exempting it from the requirement in subsection 21.2(0.1) of the Act to be recognized as a clearing agency. The Interim Exemption Order will be effective for a period lasting until the earlier of (i) nine (9) months from the Effective Date and (ii) a subsequent order which recognizes Nodal Clear as a clearing agency under subsection 21.2 of the Act or exempts it from the requirement to be recognized as a clearing agency under section 147 of the Act.

A copy of the Interim Exemption Order is published in Chapter 2 of this Bulletin.