

## Chapter 13

# SROs, Marketplaces, Clearing Agencies and Trade Repositories

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### 13.2 Marketplaces

#### 13.2.1 Neo Exchange Inc. – Public Interest Rule Amendments to the Trading Policies – Notice of Approval

##### NEO EXCHANGE INC.

##### TRADING POLICIES AMENDMENTS

##### NOTICE OF APPROVAL

In accordance with the *Process for the Review and Approval of Rules and the Information Contained in Form 21-101F1 and the Exhibits Thereto*, Neo Exchange Inc. ("**NEO Exchange**") has adopted and the Ontario Securities Commission has approved Public Interest Rule Amendments to the NEO Exchange Trading Policies.

On July 29, 2021, NEO Exchange published for comment the Public Interest Rule Amendments relating to the addition of Minimum Price Improvement Orders and an Odd Lot Facility to NEO-D. For additional detail, please refer to the Request for Comments published on July 29, 2021. No comments were received.

A copy of the Trading Policies can be found on the NEO Exchange website.

Neo intends to implement the Public Interest Rule Amendments on November 15, 2021.