

## **NOTICE OF EFFECTIVE DATE – TECHNICAL AMENDMENTS TO CDS PROCEDURES**

### **New Equity Instrument Type**

#### **A. DESCRIPTION OF THE PROPOSED CDS PROCEDURE AMENDMENTS**

The proposed procedure amendments are available for review and download on the [User Documentation](#) page on the CDS website.

##### *Description of the proposed amendments*

The following new equity instrument type has been added to SMF in order to resolve a routine consistency issue:

Canadian Depositary Receipt (CDR)

The following procedures have been updated to include this new equity instrument type:

- Transfer Agent Procedures, section 1.6 Security types, subtypes and instrument types, page 12
- CDSX Procedures and User Guide, section 3.3.1 Security types, subtypes and instrument types, page 49

CDS procedure amendments are reviewed and approved by CDS's strategic development review committee (SDRC). The SDRC determines or reviews, prioritizes and oversees CDS-related systems development and other changes proposed by participants and CDS. The SDRC's membership includes representatives from the CDS participant community and it meets on a monthly basis.

These amendments were reviewed and approved by the SDRC on October 28, 2021.

#### **B. REASONS FOR TECHNICAL CLASSIFICATION**

The amendments proposed pursuant to this Notice are considered technical amendments as they are matters of a technical nature in routine operating procedures and administrative practices relating to the settlement services.

#### **C. EFFECTIVE DATE OF THE CDS PROCEDURE AMENDMENTS**

Pursuant to Appendix A ("Rule Protocol Regarding The Review And Approval Of CDS Rules By The OSC") of the Recognition Order dated July 4, 2012, as subsequently amended, and Annexe A ("Protocole d'examen et d'approbation des Règles de Services de Dépôt et de Compensation CDS Inc. par l'Autorité des marchés financiers") of AMF Decision 2012-PDG- 0142, as subsequently amended, CDS has determined that the proposed amendments will become effective upon approval by the regulators.

**D. QUESTIONS**

Questions regarding this notice may be directed to:

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Onboarding & Investigative Services

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### 3.3.1 Security types, subtypes and instrument types

The tables below list the security types, security subtypes and instrument types used by CDSX to identify securities.

Money market securities		
Security type	Security subtype	Instrument type
Debt (D)	Money market (MM)	Banker's acceptance (BA) Bearer deposit note (BDN) Canada treasury bill (CTB) Certificate of deposit (CD) Commercial paper (CP) Extendible commercial paper (ECP) Guaranteed investment certificate (GIC) Municipal note (MN) Municipal treasury bill (MTB) Provincial note (PN) Provincial treasury bill (PTB) U.S. Treasury bill (UTB)

Non-money market securities		
Security type	Security subtype	Instrument type
Debt (D)	Market bond (MB)	Canada savings bond (CSB) Canada premium bond (CPB) Corporate bond (CRP) Government of Canada bond (CDA) Municipal bond (MUN) Other market bond (OMB) Provincial bond (PRV) Provincial savings bond (PSB) U.S. Treasury bond or note (UTO)
	Stripped instrument (SI)	Coupon (CPN) Payment (PMT) Principal (PRN) Receipt (RCP)
	Strip package (PK)	Package (PKG)
	Asset-backed (AB)	Canada mortgage bond (CMB) Mortgage-backed security (MBS) Other asset-backed security (OAB)

Equities		
Security type	Security subtype	Instrument type
Equity (E)	N/A	<u>Canadian Depository Receipt (CDR)</u> Common stock (CM) Instalment receipt (IR) Limited partnership (LT) Mutual fund (MF) Preferred debenture (PRD) Preferred stock (PR) Right (RT) Trust unit (T) Unit (UN) Warrant (WT)

## 1.6 Security types, subtypes and instrument types

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Money market securities where the issuer or their agent is a participant in CDSX, are made eligible for CDSX pursuant to the *Participant Rules* (except for federal treasury bills issued by the Bank of Canada as agent).

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